

Portsmouth Financial Services

Fees and Charges

Bond Redemption Fees	\$10	assessed when bonds mature or are called
Checking Fees – Stop Payment	\$10	
Checking Fees – Returned Checks	\$10	
Overnight Courier – Standard Delivery	\$12	
Overnight Courier – Saturday Delivery	\$18	
Wired Funds (Outbound)	\$20	
Inactive Retail Account Fee	\$60	
Inactive Mutual Fund only Account Fee	\$35	
Paper Delivery Surcharge	\$1.25	for customer statements and confirms
Margin and COD Extensions	\$10	
Non-US and Puerto Rico Annual Fees	\$50	
Reorganization Items – Voluntary	\$50	
Reorganization Items – Mandatory	\$10	
Safekeeping US and Non-US Securities	\$10	per position, per month
Retirement Account Annual Fee	\$43.50	IRA, Roth IRA, SEP IRA, Coverdell Education
SIMPLE IRA Annual Fee	\$58.50	
Retirement Account Termination Fee	\$95	
Outgoing Account Transfers	\$100	
Accommodation Transfers	\$60	
Direct Registration Eligible	pass-through	from transfer agent to Pershing
Certificate Cancellation/Re-issue	pass-through	
Deposit and Withdrawal at Custodian		
DTC Deposit	\$3	per event
DTC Withdrawal	\$5	per event
Transfer Agent	pass-through	from transfer agent
Pershing DWAC Processing Fee	\$5	per instruction message
Legal, GNMA, and Restricted items	\$135	per transfer
Register and Ship Physical Certificate	\$60	per transfer
Dividend Reinvest Fee	\$1	
Copy of check	\$15	
Copy of Historic Monthly Statement, Tax Document, or Confirmation	\$5	
Alternative Investment Transaction Fee	\$50	
Annual Alternative Investment Fee	\$35	Per position service charge
Unrelated Business Taxable Income (UBIT)	\$200	per tax return filed